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Year: 2014

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## Determinants of outcome of solitary fibrous tumors of the pleura: an observational cohort study

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**Abstract:** BACKGROUND Solitary fibrous tumors of the pleura (SFTP) are rare and their long-term outcome is difficult to predict, as there are insufficient data which allow accurate characterization of the malignant variant. Thus the aim of this study was to describe the outcome and possible determinants of malignant behavior of SFTPs. METHODS Data were collected retrospectively from medical records of patients treated at the University Hospital Zurich from 1992 to 2012. Kaplan-Meier and Cox regression analysis were performed to define disease-free survival time (defined as survival without tumor-recurrence or tumor-related death) using the classical histo-morphological criteria (tumor size, localization, pedunculation, tumor necrosis or hemorrhage, mitotic activity and nuclear pleomorphism) and immunohistochemical parameters. RESULTS 42 patients (20 males) with SFTP (median (IQR) age 62 (56-71) years) could be identified. SFTP were associated with symptoms in 50% of all cases. Complete resection was achieved by video-assisted thoracic surgery or thoracotomy in 20 and 22 patients, respectively. Three SFTP-related deaths (7.1%) and four tumor recurrences (9.5%) were observed. Mean disease-free survival time was 136.2 ( $\pm$  13.1) months, and 2-, 5- and 10-year disease-free survival was 91%, 84%, and 67%, respectively. Mean disease-free survival inversely correlated with the mean tumor diameter, number of mitotic figures and proliferation rate (Ki-67 expression). Other criteria (tumor necrosis, atypical localization, sessile tumor, and pleomorphism) were not statistically significant prognostic parameters. CONCLUSIONS Patients with large SFTP with a high mitotic index and high proliferation rate should be followed-up closely and over a prolonged time period in order to recognize recurrence of the SFTP early and at a treatable stage. Future research on this topic should focus on the prognostic role of immunohistochemistry including Ki-67 expression and molecular parameters.

DOI: <https://doi.org/10.1186/1471-2466-14-138>

Posted at the Zurich Open Repository and Archive, University of Zurich

ZORA URL: <https://doi.org/10.5167/uzh-98592>

Journal Article

Published Version



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Originally published at:

Franzen, Daniel; Diebold, Matthias; Soltermann, Alex; Schneiter, Didier; Kestenholz, Peter; Stahel, Rolf; Weder, Walter; Kohler, Malcolm (2014). Determinants of outcome of solitary fibrous tumors of the

pleura: an observational cohort study. BMC Pulmonary Medicine, 14:138.  
DOI: <https://doi.org/10.1186/1471-2466-14-138>

RESEARCH ARTICLE

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# Determinants of outcome of solitary fibrous tumors of the pleura: an observational cohort study

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## Abstract

**Background:** Solitary fibrous tumors of the pleura (SFTP) are rare and their long-term outcome is difficult to predict, as there are insufficient data which allow accurate characterization of the malignant variant. Thus the aim of this study was to describe the outcome and possible determinants of malignant behavior of SFTPs.

**Methods:** Data were collected retrospectively from medical records of patients treated at the University Hospital Zurich from 1992 to 2012. Kaplan-Meier and Cox regression analysis were performed to define disease-free survival time (defined as survival without tumor-recurrence or tumor-related death) using the classical histo-morphological criteria (tumor size, localization, pedunculation, tumor necrosis or hemorrhage, mitotic activity and nuclear pleomorphism) and immunohistochemical parameters.

**Results:** 42 patients (20 males) with SFTP (median (IQR) age 62 (56–71) years) could be identified. SFTP were associated with symptoms in 50% of all cases. Complete resection was achieved by video-assisted thoracic surgery or thoracotomy in 20 and 22 patients, respectively. Three SFTP-related deaths (7.1%) and four tumor recurrences (9.5%) were observed. Mean disease-free survival time was 136.2 ( $\pm$ 13.1) months, and 2-, 5- and 10-year disease-free survival was 91%, 84%, and 67%, respectively. Mean disease-free survival inversely correlated with the mean tumor diameter, number of mitotic figures and proliferation rate (Ki-67 expression). Other criteria (tumor necrosis, atypical localization, sessile tumor, and pleomorphism) were not statistically significant prognostic parameters.

**Conclusions:** Patients with large SFTP with a high mitotic index and high proliferation rate should be followed-up closely and over a prolonged time period in order to recognize recurrence of the SFTP early and at a treatable stage. Future research on this topic should focus on the prognostic role of immunohistochemistry including Ki-67 expression and molecular parameters.

**Keywords:** Solitary fibrous tumor, Pleura, Immunohistochemistry, Outcome, Proliferation rate

## Background

Solitary fibrous tumors of the pleura (SFTP) account for less than 5% of all pleural tumors and have a reported incidence of 2.8 cases/100'000 persons per year [1,2]. Since their first description in 1931, approximately 1000 cases have been described in the literature. Historically, the taxonomy of these tumors was heterogeneous. The terms included “localized mesothelioma” or “submesothelial

fibroma” reflecting the disagreement concerning the etiology and pathogenesis of these neoplasms [3]. More recently, SFTP were shown to arise from the submesothelial layer, as expression of vimentin and CD34 - both markers of mesenchymal cells - was detected [4,5]. The majority of these tumors follow a benign course with a high cure rate [2,6]. However, features of malignancy are found in 7-60% [6-8], and in such tumors a high recurrence rate and excess mortality have been reported [9]. In addition, some benign SFTP may transform into the malignant variant, sometimes several years after complete surgical resection [10].

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To date, there is insufficient information to predict the biological behavior of these tumors which is partly related to very few data on immunohistochemical and molecular markers and limited long-term follow-up data in SFTP [11-13]. Hence, there is a need to define parameters that characterize the malignant variant based on clinico-pathological, immunohistochemical, or molecular findings. The objective of this study was to describe the clinicopathological presentation of SFTP and its impact on the outcome.

## Methods

### Subjects and data

Between January 1, 1992 and December 31, 2012, all consecutive patients with histologically proven SFTP treated at the University Hospital Zurich were included in the present study. Data on demographics, the initial presentation and surgical treatment were collected from medical records. Follow-up data were collected from routine postoperative surveillance examinations, which were performed every six months for five years and yearly thereafter with low-dose computed tomography, or from contact with general practitioners. Written informed consent was obtained from all patients or their relatives. The study was approved by the Ethics committee of the Canton of Zurich, Switzerland (KEK-ZH 2012-0279).

### Outcomes and definition of malignancy

The primary variable of interest of the study was disease-free survival time. Events were defined either as tumor-related death, recurrence of the tumor or evidence of metastases. Malignant SFTP were defined according to the historical criteria proposed by Okike et al. [2] if one or more of the following features were present: (1) high cellularity, (2) high mitotic activity with more than four mitotic figures per 10 high-power fields (HPF), and (3) pleomorphism.

### Possible predictors of outcome

In addition to the aforementioned three pathologic features [2], possible predictors of outcome were clinico-pathologic factors described by England et al. [6]: (a) tumor size greater than 10 cm in diameter, (b) atypical localization (SFTP attached to parietal pleura, fissure, or mediastinum, or inverted into lung parenchyma), (c) sessile or pedunculated tumor, (d) existence of necrosis or hemorrhage, (e) more than four mitoses per 10 high-power fields (HPF), and (f) nuclear pleomorphism (expressed as increased nuclear grades). Furthermore, demographic (age, gender, smoking status), clinical (symptoms, radiological findings), and immunohistochemical variables (Ki-67, Cytokeratin, Desmin, Vimentin, CD 34, CD 99, bcl-2 (B-cell lymphoma 2 protein), SMA (smooth muscle antigen), and S-100) were investigated for their possible

predictive value on disease-free survival. Ki-67 is a nuclear protein that is expressed in proliferating cells, and thus a surrogate marker of the cellular proliferation rate. It is immunostained with MIB-1 according to standard protocols (DAKO M7240, 1:20) using the Ventana automated Benchmark staining system (MIB-1 labeling index).

### Statistical analysis

All statistical analyses were performed using IBM SPSS Statistics for Windows, version 20.0 (IBM Corporation, Armonk, NY). Data are reported as median  $\pm$  interquartile range (IQR), or mean  $\pm$  standard deviation (SD), or percentages as appropriate. Differences between malignant and benign SFTP were assessed using  $\chi^2$  tests. Kaplan-Meier analysis was used to estimate disease-free survival. Univariate analysis by Cox regression analysis was performed to evaluate the possible predictive value on disease-free survival of the above mentioned covariates. Variables with a *p*-value of less than 0.05 in the univariate analysis were entered into the multiple regression

**Table 1 Preoperative demographic, clinical and radiological characteristics of SFTP**

Characteristics		N = 42
Demographics	Male gender, N (%)	20 (47.6)
	Age [years]	63.0 (55.7-71.0)
	Current or history of smoking, N (%)	22 (52.4)
	Pack years of smoking (py)	2.5 (0-21.2)
Symptoms	No symptoms	21 (50.0)
	Dyspnea	11 (26.2)
	Cough	10 (23.8)
	Chest pain	10 (23.8)
	Constitutional symptoms (night sweats, weight loss)	6 (14.3)
	Digital clubbing	4 (9.5)
	Symptomatic hypoglycemia	2 (4.7)
Laboratory results	Hemoglobin [g/l]	137.0 (117.0-149.0)
	Leucocytes count [ $\times 10^9/l$ ]	7.2 (5.8-8.7)
	C-reactive protein [mg/l]	3.0 (2.0-14.0)
	Blood glucose [mmol/l]	5.4 (5.1-6.3)
Radiology	Extra-pulmonary mass	37 (88.1)
	Inhomogeneous mass	17 (40.5)
	Contact to pleura	37 (88.1)
	Pleural effusion	5 (11.9)
	Displacing other anatomical structures	13 (31.0)
	Invasion into other anatomical structures	2 (4.8)
	Focal calcification	5 (11.9)
	Localization in lower lobes	22 (52.4)

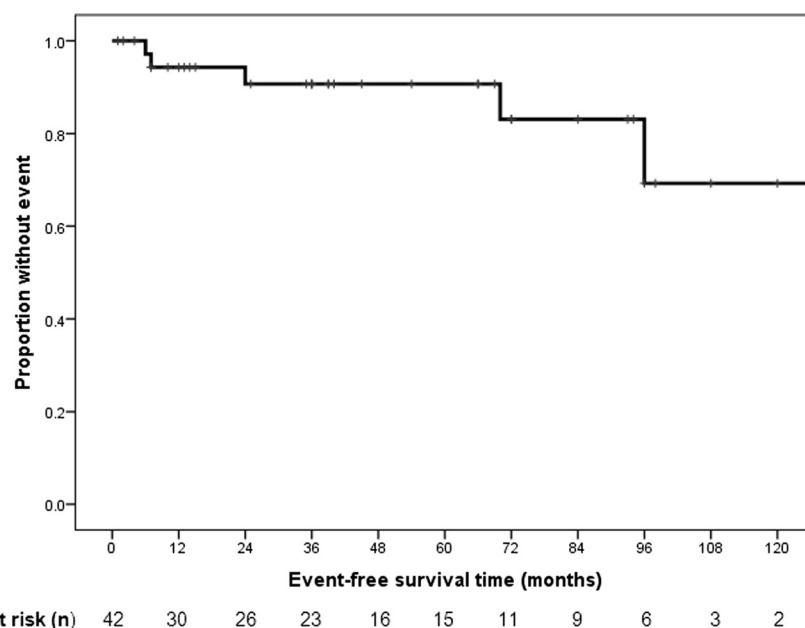
Values are median (IQR) where applicable.

**Table 2 Histomorphological and immunohistochemical profile of SFTP**

	All (n = 42)	Malignant (n = 18)	Benign (n = 24)	p-value*
<b>Histomorphology</b>				
Atypical localization	2 (4.8)	1 (5.6)	1 (4.2)	ns
Tumor size [cm]	6.2 (4.8-14.1)			
Tumor size > 10 cm	19 (45.2)	12 (66.7)	7 (29.2)	0.002
Sessile tumor	11 (26.2)	7 (38.9)	4 (16.7)	ns
Necrosis	19	11 (61.1)	7 (29.2)	0.024
Nr of mitoses per 10 HPF	4.1 (1.0-7.25)			
> 10 mitoses per 10 HPF	14 (33.3)	13 (72.2)	0 (0)	0.022
Pleomorphism	8 (19.0)	6 (33.3)	2 (8.3)	0.03
<b>Immunohistochemistry</b>				
Ki-67 (proliferation rate)**	5 (1-7)	13.3	3.2	0.01
Ki-67 > 12%**	3/27 (11.1)	3 (30)	0	0.02
Vimentin	16/18 (88.9)	8 (88.9)	8 (88.9)	ns
Cytokeratin	1/19 (5.3)	0 (0)	1 (10.0)	ns
Desmin	4/16 (25.0)	3 (33.3)	1 (14.3)	ns
SMA	1/18 (5.6)	1 (12.5)	0 (0)	ns
CD 34	35/36 (97.2)	16 (94.1)	19 (100)	ns
CD 99	14/18 (77.8)	5 (55.6)	9 (100.0)	ns
bcl-2	21/22 (95.5)	8 (88.9)	13 (100.0)	ns
S-100	0/23 (0)	0 (0)	0 (0)	ns

Values are N (%) or median (IQR) where applicable.

\*Chi-square test; ns, not significant; HPF, high-power field; SMA, smooth muscle antigen; bcl-2, B-cell lymphoma 2 protein; \*\*Proliferations rate is determined by Ki-67 expression (MIB-1 labeling index).



**Figure 1 Disease-free survival in solitary fibrous tumors of the pleura.** Mean disease-free survival was 136.2 ( $\pm 13.1$ ) months, and 2-, 5- and 10-year disease-free survival was 91%, 84%, and 67%, respectively.

model. For statistical significant variables, the hazard ratio (HR) with a 95% confidence interval (CI) was calculated. *P*-values of all outcomes were two-sided; a value less than 0.05 was considered to indicate statistical significance.

## Results and discussion

### Demographic and clinical data

Between 1992 and 2012, a total of 42 patients (20 males) with histologically proven SFTP were treated at the University Hospital Zurich. Demographic, clinical and radiological data are summarized in Table 1.

### Therapy and pathology

SFTP's were resected by a wedge resection (75.6%) followed by lobectomy (17.1%) or pneumonectomy (7.3%) in cases where the tumor was extensive and adherent to the lung. In 20 patients (47.6%), the operation was performed by thoracoscopy. R0-resection (tumor-free margins) was achieved in all patients irrespective of the surgical method. Perioperative complications were seen in

seven patients (16.7%) including prolonged air leak ( $n = 3$ ), infection ( $n = 2$ ), bleeding ( $n = 1$ ), and intercostal neuralgia ( $n = 1$ ). The mean length of hospital stay was 6 (IQR 4–9) days, and perioperative mortality was 0%.

Eighteen SFTP (42.9%) were classified as malignant. The histomorphological and immunohistochemical profile of SFTP are summarized in Table 2.

### Disease-free survival

Mean disease-free survival was 136.2 ( $\pm 13.1$ ) months, and 2-, 5- and 10-year disease-free survival was 91%, 84%, and 67%, respectively (Figure 1). During the median follow-up time of 39 (14–78) months, the endpoint (recurrence or SFTP-related death) was reached in five patients (11.9%). Recurrence of SFTP was found in four patients (9.5%), and SFTP-related mortality was 7.1%.

### Univariate analysis

In univariate Cox regression analysis, large tumor size (HR 1.24, 95% CI 1.04–1.47,  $p = 0.015$ ), high mitotic rate

**Table 3 Investigated variables with a possible impact on the outcome of patients with solitary fibrous tumors of the pleura**

Variable	HR	CI	<i>p</i> -value*
<b>Univariate analysis</b>			
Gender	0.54	0.89–3.26	ns
Age	1.13	0.91–1.30	ns
History of smoking	2.26	0.29–2.35	ns
Symptomatic SFTP	1.19	0.20–7.14	ns
Inhomogeneous appearance on CT	4.69	0.48–45.52	ns
Sessile SFTP	3.90	0.43–35.04	ns
Necrosis or hemorrhage	0.14	0.02–1.31	ns
Atypical localization	0.04	0.03–4.3	ns
Malignant SFTP according to Okike classification [2]	0.21	0.02–2.00	ns
Pleomorphism	0.45	0.07–2.74	ns
Desmin	2.35	0.43–5.34	ns
Vimentin	3.65	0.33–40.32	ns
CD 34	1.0	0.8–2.2	ns
CD 99	4.84	0.44–53.81	ns
Bcl-2	5.32	0.87–7.34	ns
SMA	2.29	0.03–8.54	ns
Tumor diameter $\geq 10$ cm	1.24	1.04–1.47	0.015**
$\geq 10$ mitotic figures/10 HPF	1.33	1.08–1.63	0.008**
Proliferation rate $\geq 12\%$ ***	1.09	1.02–1.16	0.015**
<b>Multivariate analysis</b>			
Tumor diameter $\geq 10$ cm	12.45	0.28–5578.61	ns
$\geq 10$ mitotic figures/10 HPF	2.29	0.29–18.12	ns
Proliferation rate $\geq 12\%$ ***	1.53	0.35–6.64	ns

\*Cox regression analysis; \*\*Variables with a  $p$ -value  $\leq 0.05$  were entered into the multivariate analysis; \*\*\*Determined by Ki-67 expression (MIB-1 labeling index); HR, hazard ratio; CI, confidence interval; CT, computed tomography; ns, not significant; HPF, high-power field, SFTP, solitary fibrous tumor of the pleura; SMA, smooth muscle antigen; bcl-2, B-cell lymphoma 2 protein.

(HR 1.33, 95% CI 1.08-1.63,  $p = 0.008$ ), and high proliferation rate (HR 1.09, 95% CI 1.02-1.16,  $p = 0.015$ ) were significantly associated with impaired disease-free survival (Table 3). All patients with high proliferation rate also had a mitotic rate over 10/HPF. Comparative disease-free survival curves in SFTP with and without tumor diameter greater than 10 cm, number of mitotic figures over 10 per 10 HPF, and proliferation rate (Ki-67, MIB-1) greater than 12% are displayed in Figures 2, 3 and 4. Hence, from the clinicopathologic features proposed by England et al. [6], only tumor size and the number of mitoses showed a statistically significant association with disease-free survival, whereas atypical localization, sessile or pedunculated tumor, existence of necrosis or hemorrhage, and pleomorphism were not predictive for disease-free survival. Furthermore, the classification in malignant and benign variants according to the pathologic features published by Okike et al. [2] showed no statistically significant association with disease-free survival (Table 3).

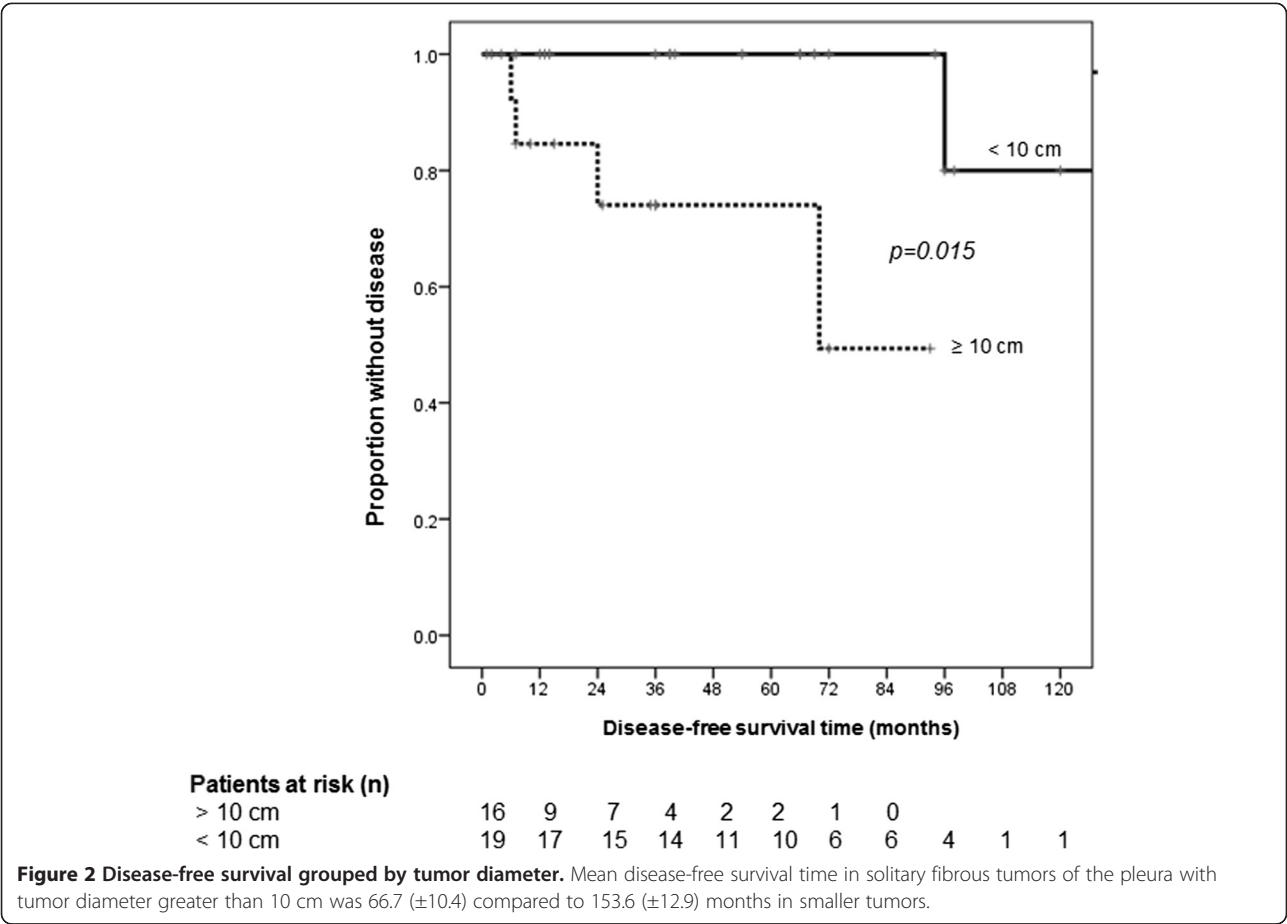
Multivariate analysis

In multiple regression analysis, none of the features, which were significantly associated with disease-free survival in the univariate analysis, remained statistically significantly

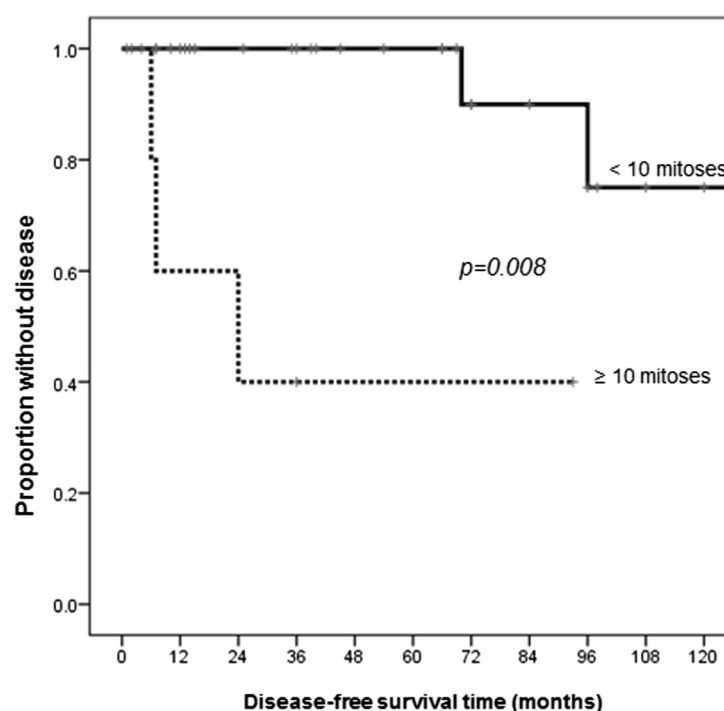
associated with disease-free survival after correction for covariates.

Discussion

In univariate analysis, impaired outcome in patients with histologically proven SFTP inversely correlated with mean tumor diameter, number of mitotic figures per 10 high-power fields, and proliferation rate (measured with Ki-67, a nuclear protein that is expressed in proliferating cells and immunostained with MIB-1). However, these associations did not remain significant in the multivariate analysis, possibly due to the limited number of included cases. Beyond complete resection of SFTP, which has been shown to be a major prognostic indicator by several authors [2,6,14-16], and was achieved in all our cases, several histomorphologic, immunohistochemical, or molecular features may correlate with prognosis. However, the evidence concerning factors predicting a worse outcome is conflicting throughout the literature. Histomorphologic characteristics apparently predicting aggressive behavior (including local recurrence, metastases or SFTP-related death) were first described by England et al. [6] and included tumor size greater than 10 cm in diameter, atypical localization, sessile tumor, existence of







**Patients at risk (n)**

> 10 mitoses	7	3	2	1	1	1	1	1	0	
< 10 mitoses	28	23	20	17	14	13	8	6	5	2

**Figure 3 Disease-free survival grouped by number of mitotic figures.** Mean disease-free survival time in solitary fibrous tumors of the pleura with a number of mitotic figures over 10 per 10 high-power fields was 44.6 ( $\pm 17.9$ ) compared to 147.4 ( $\pm 12.8$ ) months in tumors with less mitoses.

necrosis or hemorrhage, more than four mitoses per 10 high-power fields (HPF), and nuclear pleomorphism. However, none of these factors seems to accurately predict an impaired outcome, as 59% of SFTP may display at least one of the above mentioned features, whereas mortality and recurrence have only been observed in 10% and 18% of all cases, respectively [11].

More recently, de Perrot et al. proposed a staging system mainly based on the sessile morphology of SFTP and on the above mentioned characteristics in order to identify SFTP at high risk of aggressive behavior [17]. In 2008, this modified staging system was evaluated by Schirosi et al. [11] who were able to show a significant correlation with overall and disease-free survival. However, in the multivariate analysis of the latter study, the authors found that only tumor necrosis and high p53 expression were parameters significantly associated with overall and disease-free survival [11].

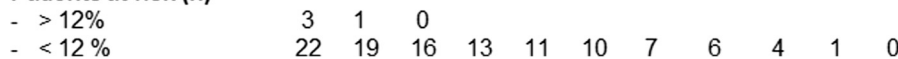
So far only a few immunohistochemical and molecular parameters with a possible impact on prognosis in SFTP have been investigated. High p53 expression has previously been shown to correlate with malignancy by others [18,19]. Also, loss of CD34 expression and cyto-keratin (CK) positivity was considered to correlate with

unfavorable outcome in SFTP [18,20-22]. Recently, the prognostic value of CD34 negativity was confirmed in a large retrospective study conducted in 157 patients with SFTP [23]. The same authors confirmed that sessile morphology was a significant risk factor for recurrence, but interestingly tumor size was not associated with the outcome [23]. Other previously mentioned histomorphological features were not considered in the latter study [23]. Unexpectedly the authors found no significant difference of survival between patients with malignant and those with benign SFTP, whereas recurrence was more common in patients with malignant variants [23].

In contrast to some previous studies [6,17,23], we did not find that sessile configuration of SFTP was significantly associated with the outcome. Similarly, Lococo et al. did not find a significant association between sessile morphology and outcome in SFTP [16].

In the current study, prognosis was not affected by patient's age, the site (visceral or parietal pleura) or by the existence of pleural effusion. Also, in univariate analysis, characterization as malignant or benign tumors according to the criteria by Okike et al. [2] was not significantly predictive for disease-free survival. From the clinicopathologic features described by England et al. [6], only tumor





The present study has some inherent limitations mainly due to the retrospective design. The sample size in our study is relatively small, which might impair the interpretability of the multivariate analysis and the overall-survival analysis. For this reason we have chosen to display the disease-free survival only. However, we feel that the findings of our study merit attention, because proliferation rate in SFTP has been considered as a possible prognostic parameter in very few and small case series only. Noteworthy, our series is the largest investigating the proliferation rate measured with Ki-67 immunostaining and its impact on outcome in SFTP.

## Conclusions

Tumor-related mortality, recurrence or metastases are difficult to predict in SFTP solely based on demographic, histo-pathological or clinical parameters. High mitotic rate, large tumor size, and high proliferation rate seem to be associated with adverse outcome. Hence, to date the definition of malignant SFTP should refer to these parameters.

## Competing interests

The authors declare that they have no competing interests.

## Authors' contribution

Study design (DF, AS, MK), data collection (DF, AS, MD, DS, PK, WW, MK), data analysis (DF, MD, AS, MK), drafting of the manuscript (DF, RS, MK). Approval of the final version of the manuscript (all authors).

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Received: 4 December 2013 Accepted: 8 August 2014

Published: 12 August 2014

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doi:10.1186/1471-2466-14-138

Cite this article as: Franzen et al.: Determinants of outcome of solitary fibrous tumors of the pleura: an observational cohort study. *BMC Pulmonary Medicine* 2014 **14**:138.